

REMARKS

In accordance with the foregoing, claims 1, 3, 17, 18, 20 and 22 are amended. Claims 2, 19, 21, 23, and 24 are cancelled. New claim 25 is added. No new matter is added. Claims 1, 3-18, 20, 22, and 25 are pending and under consideration.

OBJECTION

In response to the objection, Applicants submit a Substitute Specification herewith which is identical with the originally filed specification but has a better image quality to avoid the informalities induced by missing letters in the USPTO scanned version.

CLAIM REJECTIONS UNDER 35 U.S.C. § 112

Claims 18-21 are rejected under 35 U.S.C. 112, second paragraph. Claims 18 and 20 are amended and claims 19 and 21 are cancelled herewith. Applicants believe that the amended claim language renders the rejections moot.

CLAIM REJECTIONS UNDER 35 U.S.C. § 101

Claims 18-21 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. Claims 18 and 20 are amended and claims 19 and 21 are cancelled herewith. Applicants believe that the amended claim language renders the rejections moot.

ALLOWABLE SUBJECT MATTER

Claims 2-12 and 16 were indicated as allowable if rewritten to overcome the rejection under 35 U.S.C. §112, second paragraph **and/or** if rewritten in independent form.

Applicant acknowledges with appreciation the indication of allowable subject matter. In response, independent claim 1 is amended herewith to incorporate the features originally recited in claim 2. Claim 2 is cancelled. Claim 3 is amended to depend from amended claim 1. In view of the indication of allowable subject matter and the claim amendments, Applicant respectfully submits that claim 1 and claims 3-16 depending from claim 1 are patentable.

CLAIM REJECTIONS UNDER 35 U.S.C. § 102

Claim 18-24 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S. Publication No. 2002/0021464 to Way et al. (hereinafter "Way").

Claims 19, 21, 23, and 24 are cancelled herewith. The subject matter originally recited in

claims 19 is 21 is incorporated in claims 18 and 20 respectively.

On page 6 of the outstanding Office Action, it is asserted that the polarization independent optical parts are anticipated by components 52 and 54 of FIG.1 in Way. The components 52 and 54 are optical amplifiers, for example EDFA, DRA, etc. and are merely disposed along the optical transmission path as described in paragraph [0041] of Way and not part of the multiplexing or demultiplexing apparatus of amended claims 18 and 20 respectively.

Claim 18 is patentable at least because the prior art of record does not teach or suggest at least "a polarization independent filter having a band narrower than spectrum width obtained based on a bit rate and a type of coding of each of said optical signals,

wherein each component on a short wavelength side and a long wavelength side of each of said optical signals of the plurality of wavelengths is eliminated by said polarization independent filter, thereby generating a wavelength division multiplexed light in which spacing of said optical signals is made narrower than said spectrum width to be output."

Claim 20 is patentable at least because prior art of record does not teach or suggest at least "a polarization independent filter having a band narrower than spectrum width obtained based on a bit rate and a type of coding of each of said optical signals, wherein each component on a short wavelength side and a long wavelength side of each of said optical signals is eliminated by said polarization independent filter, thereby demultiplexing optical signals with a plurality of wavelengths in which spacing of said optical signals is made narrower than said spectrum width to be output."

Claim 22 is also patentable because the claimed optical transmission system includes the wavelength multiplexing apparatus of claim 18 and the wavelength demultiplexing apparatus of claim 20, thereby including all respective patentable features as argued above.

CLAIM REJECTIONS UNDER 35 U.S.C. § 103

Claim 1, 17, 13, 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 6,607,311 to Fishman et al. ("Fishman") in view of IEEE reference "The road to multi-terabit/s WDM transmissions" by Sebastian Bigo ("Bigo").

Claim 15 is rejected under 35 U.S.C. 103(a) as being unpatentable over Fishman and Bigo as applied to claim 1 above, and further in view of U.S. Patent No. 6,567,196 to Archambault ("Archambault").

Bigo, Fishman, and Archambault do not correct or compensate the above-identified failure of Way in disclosing all the features of the claims.

NEW CLAIM 25

New claim 25 is directed to method of transmitting multiplexed light signals with different wavelengths through an optical fiber in which transmission characteristics corresponding to each light signal is optimized by superimposing a secondary gaussian centered on frequency on each light signal before multiplexing the light signals, wherein an order of the secondary gaussian is such that for a given bit rate and type of coding, the product of a transmission distance and a transmission capacity becomes a maximum value. Claim 25 is fully supported by the originally filed specification and claims, for example, original claims 1 and 2 and FIGS. 7 and 11-37.

CONCLUSION

There being no further outstanding objections or rejections, it is submitted that the application is in condition for allowance. An early action to that effect is courteously solicited.


Finally, if there are any formal matters remaining after this response, the Examiner is requested to telephone the undersigned to attend to these matters.

If there are any additional fees associated with filing of this Amendment, please charge the same to our Deposit Account No. 19-3935.

Respectfully submitted,

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WAVELENGTH DIVISION MULTIPLEXING OPTICAL TRANSMISSION SYSTEM, AND WAVELENGTH DIVISION MULTIPLEXING OPTICAL TRANSMISSION METHOD

BACKGROUND OF THE INVENTION

(1) Field of the Invention

The present invention relates to a wavelength division multiplexing (WDM) optical transmission system and a WDM optical transmission method, for multiplexing a plurality of signal light with different wavelengths and transmitting them in an identical optical fiber to realize a large capacity communication. In particular, the present invention relates to a technique for multiplexing each signal light at a high density for transmission.

(2) Description of Related Art

In order to increase transmission capacity of a WDM optical transmission system, it is necessary to reduce frequency spacing (wavelength spacing) as narrower as possible, and to multiplex many wavelengths at a high density. However, a spectrum of signal light has width depending on a bit rate of the signal light, and the spectrum width limits the frequency spacing.

The abovementioned signal light spectrum width depends not only on the bit rate but also on a modulation and demodulation system. Following systems are known, for example, as modulation and demodulation systems used for the WDM optical transmission system.

(1) Intensity Modulation – Direct Detection (IM-DD) System using an NRZ Modulation Type

(2) Intensity Modulation – Direct Detection (IM-DD) System using an RZ Modulation Type

(3) CS-RZ (Carrier Suppressed-RZ) Modulation – Direct Detection System (for example, refer to "1.2-Tbit/s (30x42.7-Gbit/s ETDM optical channel) WDM transmission over 376km with 125-km spacing using forward error correction and carrier-suppressed RZ format", by Y. Miyamoto, OFC2000 PD26, and the like)

(4) BSIM-DPSK Modulation – Direct Detection System (for example, refer to "Suppression of degradation induced by SPM/XPM+GVD in WDM transmission using a bit-synchronous intensity modulated DPSK signal", by T. Miyano, OECC2000 14D3-3, and the like)

(5) VSB Modulation – Direct Detection System (for example, refer to "Study on 20Gbit/s WDM transmission by band reduction RZ optical signal using optical filter", by T. Tsuritani, OCS2001-28, and the like)

Among these modulation systems, the system (1) is the most widely used for actual products. The systems (2) to (4) each have an advantage of a higher resistance to OSNR than the system (1). However, since the signal light has the wider spectrum, there is a disadvantage from the viewpoint of high density multiplexing. Further, the system (5) has a narrower signal light spectrum than the system (1), which is advantageous from the viewpoint of high density multiplexing, but has a disadvantage in that a constitution of optical sender is complicated.

Moreover, as a measure for making the WDM signal light to be at a high density, for example, a technique based on the following polarization control is proposed in addition to the techniques described above centered on the modulation and demodulation system.

(6) Orthogonal Polarization Transmission Technique (refer to "6.4Tb/s (160x40Gb/s) WDM Transmission Experiment with 0.8 bit/s/Hz Spectral Efficiency", by T. Ito, ECOC2000 PD1.1, and the like)

(7) Polarization Division Multiplexing Transmission Technique (for example, refer to "Transmission of 256 wavelength-division and polarization-division-multiplexed channels at 42.7Gb/s (10.2Tb/s capacity) over 3x100km of TeraLight™ fiber", by Y. Frignac, OFC2002 Post Deadline Papers FC5-1, and the like)

Incidentally, spectrum efficiency is known as an index for representing the high density of WDM signal light. This spectrum efficiency is defined by a value (B/S) obtained by dividing a bit rate B per one wave by frequency spacing S.

For example, in the intensity modulation-direct detection (IM-DD) system using a normal NRZ modulation type as described in (1), even in the case where neither the orthogonal polarization transmission technique as described in (6) nor the

polarization division multiplexing transmission technique as described in (7) is used, the maximum spectrum efficiency of 0.4bit/s/Hz is achieved. To be specific, there are reported a case where signal light of 10Gbit/s per one wave is multiplexed at 25GHz spacing (for example, refer to "25GHz spaced DWDM 160x10.66Gbit/s (1.6Tbit/s) Unrepeated Transmission over 380km", by P. Le Roux, ECOC2001 PDM1.5, and the like), or a case where signal light of 40Gbit/s per one wave is multiplexed at 100GHz spacing (for example, refer to "3.5Tbit/s (43-Gbit/s x 88ch) transmission over 600-km NZDSF with VIPA variable dispersion compensators", by H. Ooi, OFC2002 ThX3, and the like).

Further, at a research level, the spectrum efficiency of over 0.4bit/s/Hz has been realized by applying techniques regarding polarization control as described in (6) and (7) to the VSB modulation-direct detection system as described in (5).

However, in order to realize the aforementioned orthogonal polarization transmission technique and polarization division multiplexing transmission technique, since assembly becomes very complicated as the number of parts in an optical sender and an optical receiver increase, there is a problem in that size and cost are increased. Therefore, it is required to realize the spectrum efficiency of over 0.4bit/s/Hz without performing orthogonal polarization transmission and polarization division multiplexing transmission by a constitution using a small sized and low cost optical sender and optical receiver, to achieve a large capacity system.

A major problem in achieving an increase in spectrum efficiency is that the Q-value is degraded due to cross-talk between optical signals. That is, even though the spectrum efficiency can be increased to expand the transmission capacity, if as a result, the Q-value is degraded and a transmission distance shortened, there is a case where market demands cannot be satisfied.

In order to discuss system performance from such a viewpoint, it is effective to use not only the spectrum efficiency but also, for example, the product of transmission distance and transmission capacity (hereunder referred to as transmission distance-capacity product) as performance indexes, and in the system designing, maximization of the above-described transmission distance-capacity product is an important task. In order to maximize the transmission distance-capacity

product, it is important to suppress the Q-value degradation due to cross-talk between optical signals.

SUMMARY OF THE INVENTION

The present invention has been accomplished the above-described problems, with an object of providing an optical transmission system and an optical transmission method, that specifies a transmission condition capable of obtaining simultaneously high spectrum efficiency and large transmission distance-capacity product, and uses small sized and low cost optical senders and optical receivers, to realize a high density wavelength division multiplexing optical transmission.

In order to achieve the above described object, according to one aspect of the present invention, there is provided a WDM optical transmission system wherein each signal light with different wavelengths output from a plurality of optical senders is multiplexed by an optical multiplexer to be transmitted to an optical transmission path, and WDM signal light propagated through the optical transmission path is demultiplexed depending on respective wavelengths by an optical demultiplexer to be received by a plurality of optical receivers. In this WDM optical transmission system, each of the plurality of optical senders generates signal light in which a bit rate and frequency spacing thereof are set so as to approach spectrum efficiency at which the product of a transmission distance and a transmission capacity (transmission distance-capacity product) becomes a maximum value. The transmission distance-capacity product is calculated based on the determination of the type of modulation of signal light and also the assumption of an equation model expressing transmission characteristics of the optical multiplexer and optical demultiplexer. Further, the optical multiplexer and the optical demultiplexer have transmission characteristics in which transmission bandwidth is set in accordance with the above described equation model, and also according to the spectrum efficiency at which the transmission distance-capacity product becomes the maximum value.

In the WDM optical transmission system having such a constitution, the spectrum efficiency at which the transmission distance-capacity product becomes the maximum value is specified by calculation, and the bit rate and frequency spacing of the signal light output from each optical sender, and also the transmission

characteristics of the optical multiplexer and the optical demultiplexer, are optimized so as to approach that spectrum efficiency. This enables an increase in transmission distance-capacity product to be achieved while realizing high spectrum efficiency, without applying the orthogonal polarization transmission or the polarization division multiplexing transmission. Therefore, it becomes possible to provide a large capacity optical transmission system in which a high density wavelength multiplexing optical transmission is realized using low cost and small sized optical senders and optical receivers.

In the above described WDM optical transmission system, the type of signal light modulation may be an NRZ modulation type and the equation model expressing the transmission characteristics of the optical multiplexer and the optical demultiplexer may be the one in which the shape of each transmission band corresponding to the wavelength of each signal light is expressed, using a frequency f , the center frequency f_c of the transmission band, full width at half maximum Δf of the transmission band, and a filter order “ n ”, in the following equation.

$$T(f) = 10 \cdot \log \left[\exp \left\{ - 2 \cdot \ln \sqrt{2} \cdot \left(\frac{|f - f_c|}{\Delta f/2} \right)^{2n} \right\} \right] \quad (\text{dB})$$

In this case, if the filter order “ n ” is secondary, then the spectrum efficiency at which the transmission distance-capacity product becomes the maximum value is 0.574bit/s/Hz.

Further, when the bit rate B and frequency grid 1 per one wave of the signal light are given in advance, a natural number “ k ” may be selected so as to minimize a difference between the spectrum efficiency $B/(kl)$ where k is the natural number, and the spectrum efficiency at which the transmission distance-capacity product becomes the maximum value, so that frequency spacing $S=kl$, of the signal light is set in accordance with the natural number “ k ”.

According to another aspect of the present invention, there is provided a WDM optical transmission system including a wavelength multiplexing apparatus for multiplexing optical signals with a plurality of wavelengths to output to a transmission path, and a wavelength demultiplexing apparatus for demultiplexing wavelength division multiplexed light from the transmission path, wherein the wavelength multiplexing apparatus and the wavelength demultiplexing apparatus eliminate each

component on a short wavelength side and a long wavelength side of the spectrum of each optical signal, using a filter with a narrower band than spectrum width obtained based on a bit rate of the optical signal and the type of coding, and make the spacing between the optical signals to be narrower than the above described spectrum width. Further, it is possible to constitute the wavelength multiplexing apparatus and the wavelength demultiplexing apparatus by polarization independent optical parts. In the system having such a constitution, it is also possible to increase the transmission distance-capacity product while realizing high spectrum efficiency, without applying the orthogonal polarization transmission or the polarization division multiplexing transmission.

As one aspect of a WDM optical transmission method, the present invention provides a method of multiplexing a plurality of signal light with different wavelengths to transmit to an optical transmission path, and demultiplexing wavelength division multiplexed signal light propagated through the optical transmission path according to wavelength to receive, wherein spectrum efficiency at which the product of a transmission distance and a transmission capacity becomes a maximum value is calculated based on the determination of the type of modulation of signal light and also the assumption of an equation model expressing transmission characteristics at the time of multiplexing and demultiplexing the signal light, a bit rate and frequency spacing of the signal light are set so as to approach the spectrum efficiency at which the product of the transmission distance and the transmission capacity becomes the maximum value, and also actual transmission characteristics at the time of multiplexing and demultiplexing the signal light is set in accordance with the above described equation model, to transmit the wavelength division multiplexed signal light.

As another aspect of the WDM optical transmission method, the present invention provides a method of generating a plurality of optical signals obtained by modulating a plurality of light with different wavelengths, eliminating each component on a short wavelength side and a long wavelength side of spectrum of each optical signal to make each optical signal to have predetermined bandwidth, and wavelength division multiplexing each optical signal at the predetermined bandwidth to transmit wavelength division multiplexed signal light.

Other objects, features, and advantages of this invention will become apparent in the following description of embodiments in relation to the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a diagram showing an example of signal light spectrum in the case where frequency spacing is set to be wide in a typical WDM optical transmission system.

FIG. 2 is a diagram showing an example of signal light spectrum in the case where frequency spacing is set to be narrow in the typical WDM optical transmission system.

FIG. 3 is a diagram showing an example of the case where the signal light spectrum is sliced in the system of FIG. 2.

FIG. 4 is a graph showing an example in which a Q-value degradation amount ΔQ with respect to a change in spectrum efficiency B/S is calculated.

FIG. 5 is a graph explaining a technique for optimization according to the present invention, which shows an example in which a relationship of a performance index PI to spectrum efficiency B/S is obtained.

FIG. 6 is a graph showing results of analysis when the calculation results of FIG. 5 are corresponded to frequency grid spacing of 25GHz and bit rates of 40 to 50Gbit/s.

FIG. 7 is a block diagram showing a structural example of a WDM optical transmission system in which optimization is achieved based on the analysis results of FIG. 6.

FIG. 8 is a diagram showing a specific example of an optical multiplexer in the structural example of FIG. 7.

FIG. 9 is a diagram showing another specific example of the optical multiplexer in the structural example of FIG. 7.

FIG. 10 is a diagram showing a further specific example of the optical multiplexer in the structural example of FIG. 7.

FIG. 11 is a graph showing a relationship of PI to $\Delta f/f_b$ in the case of $n=1.0$ in a first setting example with $B/I=1.60$.

FIG. 12 is a graph showing the relationship of PI to $\Delta f/f_b$ in the case of $n=1.1$ in the first setting example with $B/I=1.60$.

FIG. 13 is a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.2$ in the first setting example with $B/I=1.60$.

FIG. 14 is a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.3$ in the first setting example with $B/I=1.60$.

FIG. 15 is a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.4$ in the first setting example with $B/I=1.60$.

FIG. 16 is a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.5$ in the first setting example with $B/I=1.60$.

FIG. 17 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=2.0$ in the first setting example with $B/I=1.60$.

FIG. 18 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=3.0$ in the first setting example with $B/I=1.60$.

FIG. 19 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=4.0$ in the first setting example with $B/I=1.60$.

FIG. 20 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.0$ in a second setting example with $B/I=1.72$.

FIG. 21 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.1$ in the second setting example with $B/I=1.72$.

FIG. 22 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.2$ in the second setting example with $B/I=1.72$.

FIG. 23 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.3$ in the second setting example with $B/I=1.72$.

FIG. 24 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.4$ in the second setting example with $B/I=1.72$.

FIG. 25 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.5$ in the second setting example with $B/I=1.72$.

FIG. 26 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=2.0$ in the second setting example with $B/I=1.72$.

FIG. 27 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=3.0$ in the second setting example with $B/I=1.72$.

FIG. 28 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=4.0$ in the second setting example with $B/I=1.72$.

FIG. 29 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.0$ in a third setting example with $B/I=2.00$.

FIG. 30 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.1$ in the third setting example with $B/I=2.00$.

FIG. 31 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.2$ in a third setting example with $B/I=2.00$.

FIG. 32 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.3$ in a third setting example with $B/I=2.00$.

FIG. 33 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.4$ in a third setting example with $B/I=2.00$.

FIG. 34 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=1.5$ in a third setting example with $B/I=2.00$.

FIG. 35 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=2.0$ in a third setting example with $B/I=2.00$.

FIG. 36 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=3.0$ in a third setting example with $B/I=2.00$.

FIG. 37 a graph showing the relationship of PI to $\Delta f/fb$ in the case of $n=4.0$ in a third setting example with $B/I=2.00$.

DETAILED DESCRIPTION OF THE INVENTION

Hereunder is a description of embodiments of the present invention based on drawings.

Firstly, Q-value degradation due to cross-talk between optical signals, which occurs when improving spectrum efficiency, will be described with reference to FIG. 1 to FIG. 3, which is useful for understanding features of an optical transmission system of the present invention.

Here, as shown in FIG. 1 for example, it is assumed a case where, in an optical transmission system in which each signal light with wavelengths λ_a , λ_b , λ_c , ... output from a plurality of optical senders (OS) 1 is multiplexed by an optical multiplexer (OMUX) 2 to be transmitted to an optical transmission path 3, and WDM signal light propagated while being amplified by an optical repeater 6 disposed on the optical transmission path 3 is demultiplexed for each wavelength by an optical demultiplexer (ODMUX) 4 to be received by each corresponding optical receiver (OR) 5, frequency spacing (wavelength spacing) of each signal light is set to be wide, and filter characteristics corresponding to the wavelengths λ_a , λ_b , λ_c , ... of the optical multiplexer 2 and the optical demultiplexer 4 are set so as to have wide transmission bandwidth. Note, the optical multiplexer 2 corresponds to a wavelength multiplexing

apparatus, and the optical demultiplexer 4 corresponds to a wavelength demultiplexing apparatus.

In this case, each signal light output from each optical sender 1 is multiplexed in the optical multiplexer 2 at wider spacing than each spectrum width without a change in the shape of the spectrum, to be transmitted to the optical transmission path 3. Accordingly, the signal light spectrums of the respective wavelengths do not overlap with each other, hence fundamentally no Q-value degradation occurs due to cross-talk between each signal light.

Compared with the above described WDM optical transmission system with comparatively wide frequency spacing, in a system of the case of narrow frequency spacing as shown in FIG. 2 for example, since the spectrums of adjacent wavelengths of each signal light that has been multiplexed by the optical multiplexer 2 overlap with each other, cross-talk occurs between each signal light, thus causing the Q-value degradation. In order to avoid the Q-value degradation due to such cross-talk, as shown in FIG. 3 for example, a method in which a high frequency component of the spectrum of each signal light is sliced by the optical multiplexer 2 and the optical demultiplexer 4 is effective.

However, in the optical transmission system of FIG. 3, if the high frequency component of the spectrum of each signal light is sliced too much by the optical multiplexer 2 and the optical demultiplexer 4, there is also a possibility that significant Q-value degradation occurs anyway. Further, it has been confirmed that if the frequency spacing is too small relative to the spectrum width according to the bit rate, then the effect cannot be obtained.

Therefore, the present invention provides a specific technique for optimizing the bit rate and frequency arrangement of WDM signal light, and the filter characteristics of the optical multiplexer 2 and the optical demultiplexer 4, in order to realize an optical transmission system capable of minimizing the Q-value degradation at high spectrum efficiency. Hereunder is a detailed description of the optimization technique of the present invention.

FIG. 4 is a diagram showing an example in which a Q-value degradation amount ΔQ with respect to a change in spectrum efficiency B/S is calculated. In this

calculation example, since it is assumed that the same system structure as those shown in FIG. 1 to FIG. 3 is used, and that the orthogonal polarization or the polarization division multiplexing is not performed, the polarization state for all signal light is assumed to be the same (worst case). Further, to simplify the description, the Q-value degradation of the central wavelength is evaluated with the number of wavelengths of the WDM signal light being 8 waves.

Moreover, the above described Q-value degradation amount ΔQ is assumed to be defined by the following equation (1) where the Q-value when transmitting one wave is Q_0 , and the Q-value of the central wavelength when transmitting 8 waves is Q .

$$\Delta Q = 20 \cdot \log \frac{Q}{Q_0} \quad (\text{dB}) \quad \dots(1)$$

Factors to determine this Q-value degradation amount ΔQ are the signal light spectrum between adjacent wavelengths, and the filter characteristics (transmission characteristics) of the optical multiplexer 2 and the optical demultiplexer 4. Therefore, even if the number of wavelengths are increased or decreased, the calculation result of ΔQ is not changed.

In addition, for the filter characteristics of the optical multiplexer 2 and the optical demultiplexer 4 used when calculating the Q-value degradation amount ΔQ , the shapes of the transmission characteristics of the optical multiplexer 2 and the optical demultiplexer 4 corresponding to the wavelength of each signal light are assumed by a function shown in the following equation (2), for example, for modeling actual filter characteristics.

$$T(f) = 10 \cdot \log \left[\exp \left\{ -2 \cdot \ln \sqrt{2} \cdot \left(\frac{|f - f_c|}{\Delta f/2} \right)^{2n} \right\} \right] \quad (\text{dB}) \quad \dots(2)$$

In the above equation, f_c is the central frequency of the transmission band, Δf is the full width at half maximum, "n" is the order of the relative equation, and by setting $n=2$ here, the equation corresponds to a function type called secondary super Gaussian. For the full width at half maximum Δf , a required value may be previously set according to the signal light spectrum. Or the full width at half maximum Δf may be optimized according to the change in the spectrum efficiency B/S.

As shown in the calculation results in FIG. 4, it can be seen that, if the spectrum efficiency B/S is increased over about 0.6bit/s/Hz , the Q -value degradation amount ΔQ is increased rapidly. Main factors of this Q -value degradation are considered to be the cut off of the signal light spectrum by the optical multiplexer 2 and the optical demultiplexer 4, and cross-talk between each signal light. Further, as is clear from changes in the characteristics when changing a value $\Delta f/f_b$ obtained by dividing the transmission bandwidth Δf (full width at half maximum) corresponding to each wavelength of the optical multiplexer 2 and the optical demultiplexer 4, by a clock frequency f_b of the signal light, the Q -value degradation amount ΔQ is varied depending on the bandwidth Δf of the filter.

Next is a detailed description of the transmission distance-capacity product serving as a performance index of the aforementioned system.

As typical transmission characteristics, in case of an optical multistage repeater transmission system in which optical repeaters are almost equally spaced, a transmission distance thereof is almost proportional to $10^{(-\Delta Q/10)}$. Further, in the case where the wavelength bandwidth of WDM signal light (WDM bandwidth) is assumed to be constant, a transmission capacity is proportional to the spectrum efficiency B/S . That is, the transmission capacity C can be expressed as $C = N \cdot B = (W/S) \cdot B = W \cdot (B/S)$ using the number of wavelengths N of the WDM signal light, the bit rate B per one wave, WDM bandwidth W , and frequency spacing S , and is proportional to the spectrum efficiency B/S . Accordingly, if $10^{(-\Delta Q/10)} \cdot B/S$ can be maximized, the transmission distance-capacity product can be also maximized. Therefore, in the present invention, the value of $10^{(-\Delta Q/10)} \cdot B/S$ (referred to hereunder as performance index PI) is focused, to achieve the optimization of the bit rate and frequency arrangement of WDM signal light, and of the filter characteristics of the optical multiplexer 2 and the optical demultiplexer 4.

FIG. 5 is a graph showing an example in which a relationship of the performance index PI to the spectrum efficiency B/S is obtained by calculation.

Conditions to obtain the relationship of FIG. 5 are to assume an equation model regarding the filter characteristics of the optical multiplexer 2 and the optical demultiplexer 4, and to determine the type of signal light modulation. Here, the secondary super Gaussian as shown in the equation (2) are applied as the filter

characteristics for example, and NRZ modulation is applied as the type of signal light modulation for example, to calculate the relationship of the performance index PI to the spectrum efficiency B/S.

As shown in the calculation result of FIG. 5, it can be seen that, by determining the conditions of the equation model regarding the filter characteristics and the type of signal light modulation, the performance index PI becomes maximum when a specific value of the spectrum efficiency B/S is obtained. To be specific, in the case where the secondary super Gaussian is applied as the filter characteristics, and the NRZ modulation is applied as the type of signal light modulation, if the spectrum efficiency B/S is in the vicinity of 0.574bit/s/Hz, the performance index PI becomes maximum, that is the transmission distance-capacity product becomes maximum. Accordingly, by optimizing the bit rate and frequency arrangement of WDM signal light actually used, and the filter characteristics of the optical multiplexer 2 and the optical demultiplexer 4, so as to approach the spectrum efficiency B/S that maximizes the performance index PI, it becomes possible to design an optical transmission system that realizes simultaneously high spectrum efficiency and the large transmission distance-capacity product.

Incidentally, the central frequency (central wavelength) of signal light used in a WDM optical transmission system has been discussed at the ITU for example, and currently an ITU grid of up to 25GHz spacing has been adopted as a standard. Normally, optical parts such as a tunable laser used as an optical source of the optical sender 1 are produced based on the ITU grid. Therefore, if a signal light frequency based on the ITU grid is adopted, standard parts based on the ITU that are widely available on the market can be used, thus it becomes possible to realize low cost optical senders.

On the other hand, a bit rate (for example, 10Gbit/s, 40Gbit/s, etc.) corresponding to SONET/SDH is typically used for an optical transmission apparatus. Further, there is an optical transmission apparatus in which a bit rate equivalent to 1 to 1.25 times as high as the bit rate based on the SONET/SDH can be used, by adopting a known error correction (FEC: Forward Error Correction) technique. In view of such circumstances, for currently assumed bit rates, for example 10 to 12.5Gbit/s, 20 to 25Gbit/s, 40 to 50Gbit/s, 80 to 100Gbit/s, 160 to 200Gbit/s and the like can be considered.

One task when designing a WDM optical transmission system is to determine frequency spacing $S=kl$ (k is a natural number) at which the transmission distance-capacity product can approach a maximum value, when the frequency grid l and bit rate B of WDM signal light being transmitted are given. That is, in the calculation example shown in FIG. 5, by selecting the natural number " k " such that the spectrum efficiency B/S approaches 0.574bit/s/Hz , in other words $|B/(kl)-0.574|$ bit/s/Hz becomes small, it is possible to maximize the transmission distance-capacity product.

To be specific, as shown in FIG. 6 for example, when the frequency grid $l=25\text{GHz}$ interval, and the bit rate $B=40$ to 50Gbit/s , the transmission distance-capacity product is maximized with the natural number " k "=3. Particularly, it can be seen that, in case of the bit rate $B=43\text{Gbit/s}$, the spectrum efficiency $B/S=0.574\text{bit/s/Hz}$, so the maximum effect can be obtained.

FIG. 6 shows an example of the frequency grid $l=25\text{GHz}$ interval and the bit rate $B=40$ to 50Gbit/s . However, as long as the value B/l is the same, even if the frequency grid l and the bit rate B are changed, the calculation result in FIG. 6 is the same, hence it is applicable to various frequency grids l and bit rates B .

Further, it has been shown specifically in the above example that when the spectrum efficiency $B/S=0.574\text{bit/s/Hz}$, the transmission distance-capacity product becomes maximum. However, the value of the spectrum efficiency at which the transmission distance-capacity product becomes maximum is changed according to the equation model regarding the filter characteristics and type of signal light modulation, and the value is not limited to the above described value.

A point to be further noted when designing a WDM optical transmission system based on the analysis results as described above is how many optical signals are to be arranged in gain bandwidth of an optical amplifier disposed on an optical transmission path, to increase a transmission capacity. That is, in the optical transmission system as shown in FIG. 1, arrangement of more optical signals in a band having a gain of an optical amplifier 61 that directly amplifies light inside the optical repeater 6 on the transmission path is a key to increase the transmission capacity.

The optical amplifier 61 comprises a rare earth element doped fiber that is doped with a rare earth element, and a pumping light source for pumping the rare earth element doped fiber, and performs optical amplification by a stimulated emission phenomenon due to the light propagated through the transmission path. The gain and the band having this gain of this optical amplifier 61 are determined by the rare earth element and a dopant such as aluminum or the like, to be added to the rare earth element doped fiber. Therefore, if more optical signals can be arranged in the band having the gain of the optical amplifier 61, it becomes possible to achieve an increase in the transmission capacity. On the other hand, as shown in FIG. 1, the spectrum of optical signal is determined according to the encoding system in which light is modulated and a bit rate of the optical signal. If the spectrums between optical signals are overlapped with each other to be multiplexed as shown in FIG. 2, then the transmission characteristic is degraded by cross-talk. Therefore, it will be effective if it is possible to not degrade the transmission characteristic due to cross-talk between optical signals within the gain bandwidth of the optical amplifier 61, and to wavelength multiplex more optical signals than the number of signals obtained by dividing the bandwidth of the optical amplifier by the spectrum determined by the encoding system and the bit rate.

Therefore, in the present invention, as shown in FIG. 3 described above, each component on the short wavelength side and the long wavelength side of the spectrum of the optical signal is eliminated, using an optical filter with bandwidth narrower than the spectrum width obtained by the bit rate and the type of encoding of the optical signal, in the optical multiplexer 2 corresponding to the wavelength multiplexing apparatus on the sending side. Then, each optical signal whose components on the short wavelength side and the long wavelength side have been eliminated is wavelength multiplexed at narrower spacing than the spectrum width obtained by the bit rate and the type of encoding to be transmitted to the transmission path, so that cross-talk does not occur between the spectrums of the optical signals.

Further, in the optical demultiplexer 4 corresponding to the wavelength demultiplexing apparatus, similarly each component on the short wavelength side and the long wavelength side is eliminated using an optical filter with bandwidth narrower than the spectrum width obtained by the bit rate and the type of encoding of the optical signal in the sending section. Then, each optical signal whose components on the short wavelength side and the long wavelength side have been

eliminated is wavelength division demultiplexed at narrower spacing than the spectrum width obtained by the bit rate and the type of encoding, so that cross-talk does not occur between the spectrums of the optical signals.

According to such a constitution, it becomes possible to transmit WDM signal light with no occurrence of cross-talk, even without performing the orthogonal polarization between adjacent optical signal channels. That is, by constituting the filters of the wavelength multiplexing apparatus (optical multiplexer) and the wavelength demultiplexing apparatus (optical demultiplexer) corresponding to the spectrums of optical signals, it becomes unnecessary to perform the orthogonal polarization division multiplexing between adjacent optical signal channels, and non-polarized (polarization independent) optical parts can be used to construct filter devices constituting the wavelength multiplexing apparatus and the wavelength demultiplexing apparatus.

FIG. 7 is a structural diagram showing an embodiment of the WDM optical transmission system in which optimization is achieved based on the analysis results as described above.

In the WDM optical transmission system as shown in FIG. 7, the wavelength setting and a modulation and demodulation system (specifically, intensity modulation-direct detection (IM-DD) system) of each optical sender 1 and each optical receiver 5 are set in advance, so that signal light of bit rate $B=43\text{Gbit/s}$, which is intensity modulated by an NRZ method, is arranged on the ITU grid of 25GHz at the frequency spacing $S=k\Delta=3 \cdot 25\text{GHz}=75\text{GHz}$, to be sent and received. Further, a known optical filter designed so as to exhibit transmission characteristics following the secondary super Gaussian shown in the equation (2) corresponding to the wavelength of each signal light, is used for the optical multiplexer 2 that multiplexes each signal light output from each optical sender 1, and the optical demultiplexer 4 that demultiplexes the WDM signal light propagated through the optical transmission path 3. Using such an optical multiplexer 2 and optical demultiplexer 4, high frequency components of the signal light spectrum can be sliced in optimum conditions. Note, the optical repeater 6 provided with the known optical amplifier 61 is disposed on the optical transmission path 3.

For a specific constitution of the optical multiplexer 2 that realizes the transmission characteristic as described above, it is preferable to use, for example, a constitution using an arrayed waveguide grating (AWG) as shown in FIG. 8, a constitution in which an AWG or a dielectric multi-layer film filter and an optical interleaver using an interference filter are combined as shown in FIG. 9, or the like. Further, as shown in FIG. 10, it is also possible to adopt a constitution in which the constitution in FIG. 9 is made to be one unit, and each unit are combined using the optical interleavers. The optical multiplexer 2 as shown in FIG. 8 to FIG. 10 can be constituted using polarization independent optical parts (optical parts that do not hold polarization). Here, the specific constitution of the optical multiplexer 2 has been described. However, the demultiplexer 4 may also be constituted similarly to the optical multiplexer 2.

It is ideal that the transmission characteristics following the secondary super Gaussian that has been assumed in order to obtain the calculation result in FIG. 6, are realized by adopting the constitution as described above. However, it is considered that the filter characteristics obtained actually, may differ from the above assumption. Therefore, analysis of tolerance regarding the filter characteristics of the optical multiplexer 2 and the optical demultiplexer 4 is additionally made hereunder.

Regarding the relationship shown in the equation (2), in the calculation process to obtain the result of FIG. 6, the order “ n ” is assumed to be the secondary, and the full width at half maximum Δf is fixed at the required value, or optimized according to the spectrum efficiency B/S . Here, by calculating the relationship of the performance index PI to the full width at half maximum Δf while changing stepwise the order “ n ”, specific analysis of the tolerance of the filter characteristics is made.

Firstly, as a first setting example, a case is considered where it is assumed that a ratio B/I of the bit rate B and the frequency grid I of the signal light is set to 1.60bit/s/Hz. Such a setting condition corresponds to, for example, a case where the bit rate $B=40\text{Gbit/s}$ (SONET signal light of 40G), and the frequency grid $I=25\text{GHz}$ (ITU grid of 25GHz interval).

FIG. 11 to FIG. 19 show the results obtained by calculating the relationship of the performance index PI to the value $\Delta f/f_b$ obtained by dividing the full width at half maximum Δf by the clock frequency f_b of the signal light in the first setting example.

Note, FIG. 11 to FIG. 16 show a case where the order “n” is increased from 1.0 to 1.5 at intervals of 0.1, and FIG. 17 to FIG. 19 show a case where the order “n” is increased from 2 to 4 at intervals of 1.

The calculation results of FIG. 11 to FIG. 19 show that, in order to obtain the maximum performance index PI in the case where the natural number “k”, which determines the frequency spacing $S=kl$, is set to 3, similarly to when the secondary filter characteristic as shown in FIG. 6 is assumed, the order “n” in the equation (2) may be 1.2 or more. Accordingly, by setting the order “n” to 1.2 or more and optimizing the bandwidth Δf of the filter such that the performance index PI approaches the maximum value, it becomes possible to further increase the transmission distance-capacity product. To be specific, by setting the bandwidth Δf of the filter such that the value of $\Delta f/fb$ is within a range of 1.50 to 1.90 as shown by the broken lines in FIG. 13 to FIG. 19, an effect of increasing the transmission distance-capacity product can be obtained, and specifically when $\Delta f/fb=1.75$, the maximum effect can be obtained.

Next, as a second setting example, a case is considered wherein it is assumed that the ratio B/l of the bit rate B and the frequency grid l of the signal light is set to 1.72bit/s/Hz. Such a setting condition corresponds to, for example, a case where the bit rate $B=43\text{Gbit/s}$, and the frequency grid $l=25\text{GHz}$. Note, the signal light of 43Gbit/s can be obtained when error correction by RS (255, 239) code or BCH (8160.7648.39) code is performed on the SONET signal light of 40G, or the like.

FIG. 20 to FIG. 28 show the results obtained by calculating the relationship of the performance index PI to the value $\Delta f/fb$ in the second setting example. Note, FIG. 20 to FIG. 25 show a case where the order “n” is increased from 1.0 to 1.5 at intervals of 0.1, and FIG. 26 to FIG. 28 show a case where the order “n” is increased from 2 to 4 at intervals of 1.

The calculation results of FIG. 20 to FIG. 28 show that similarly to when the secondary filter characteristic is assumed, in order to obtain the maximum performance index PI in the case where the natural number “k” is set to 3, the order “n” in the equation (2) may be 1.5 or more, and by optimizing the bandwidth Δf of the filter so that the performance index PI approaches the maximum value, it becomes possible to further increase the transmission distance-capacity product. To be

specific, by setting the bandwidth Δf of the filter so that the value of $\Delta f/f_b$ is within a range of 1.35 to 1.70 as shown by the broken lines in FIG. 25 to FIG. 28, an effect of increasing the transmission distance-capacity product can be obtained, and specifically when $\Delta f/f_b=1.60$, the maximum effect can be obtained.

Next, as a third setting example, the consideration is made on a case where it is assumed that the ratio B/l of the bit rate B and the frequency grid l of the signal light is set to 2.00bit/s/Hz. Such a setting condition corresponds to, for example, a case where the bit rate $B=50\text{Gbit/s}$, and the frequency grid $l=25\text{GHz}$. Here, the signal light of 50Gbit/s can be obtained when error correction by RS connected code is performed on the SONET signal light of 40G, or the like.

FIG. 29 to FIG. 37 show the results obtained by calculating the relationship of the performance index PI to the value $\Delta f/f_b$ in the third setting example. Here, FIG. 29 to FIG. 34 show a case where the order “ n ” is increased from 1.0 to 1.5 at intervals of 0.1, and FIG. 35 to FIG. 37 show a case where the order “ n ” is increased from 2 to 4 at intervals of 1.

The calculation results of FIG. 29 to FIG. 37 show that similarly to the case where the secondary filter characteristic is assumed, in order to obtain the maximum performance index PI in the case where the natural number “ k ” is set to 3, the order “ n ” in the equation (2) may be 2.0 or more, and by optimizing the bandwidth Δf of the filter so that the performance index PI approaches the maximum value, it is possible to increase the transmission distance-capacity product. To be specific, by setting the bandwidth Δf of the filter such that the value of $\Delta f/f_b$ is within the range of 1.35 to 1.70 as shown by the broken lines in FIG. 35 to FIG. 37, an effect of increasing the transmission distance-capacity product can be obtained, and specifically when $\Delta f/f_b=1.45$, the maximum effect can be obtained.

As shown in the analysis results corresponding to the first to the third setting examples described above, the filter characteristics of the optical multiplexer 2 and the optical demultiplexer 4 designed in accordance with the optimization techniques of the present invention are effective in a comparatively wide range with respect to the order of “ n ” (shape of filter characteristics) and transmission bandwidth Δf of the equation model, thus enabling the optical multiplexer 2 and the optical demultiplexer 4 to be realized by the constitution in which the known optical filter as shown in FIG. 8

to FIG. 10 is utilized. Further, since the analysis results corresponding to the first to the third setting examples are discussed based on standard frequencies, even if the setting of the bit rate B or the frequency grid I is changed to a value other than the above, general applicability is not lost. Accordingly, the present invention is also applicable to the design of system corresponding to a bit rate other than 40 to 50Gbit/s, to be specific, a range of bit rates to be assumed based on SONET/SDH as described above.

As described above, by specifying the spectrum efficiency B/S at which the performance index PI becomes maximum, and optimizing the bit rate and frequency arrangement of WDM signal light, and the filter characteristics of the optical multiplexer 2 and the optical demultiplexer 4 so as to approach the spectrum efficiency B/S , it is possible to achieve the increase in the transmission distance-capacity product while realizing high spectrum efficiency exceeding 0.4bit/s/Hz, without applying the orthogonal polarization transmission or the polarization division multiplexing transmission. As a result, it becomes possible to provide a large capacity optical transmission system in which a high density wavelength division multiplexing optical transmission is realized using low cost and small sized optical senders 1 and optical receivers 5.